

PROHIBITION ON CIRCULATION OF UNAUTHENTICATED NEWS



As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, Fortune Interfinance Ltd. implements code of conduct for communicating through various modes of communication. Employees/temporary staff/ voluntary workers are prohibited from:

1. Circulation of unauthenticated news related to various scrips in blogs/chat forums/e-mail etc.
2. Encouraging or circulating rumors or -unverified information obtained from client, industry, any trade or any other sources without verification.
3. Forwarding any market related news received either in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the compliance officer.

If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules Regulation etc. and shall be liable for actions.

Access to Blogs/Chat forums/Messenger sites etc. has been restricted .

Change in the Policy will be adopted as and when required by the company and is binding on all the Staff/Employees/and Directors of the Company.

For Fortune Interfinance Limited



Designated Director

Date: - 20TH MARCH, 2023

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March 20, 2023

Dear Employees,

Sub: Prohibition on circulation of unauthenticated news

This is with reference to SEBI circular no Cir/ISD/1/2011 dated March 23, 2011 regarding unauthenticated news circulated by SEBI Registered Market Intermediaries through various modes of communication.

In lieu of the above our company frames / defines a policy and guidelines for compliance of the above said circular. A copy of the said policy and SEBI circular is enclosed for your reference.

All Employee are advice to take note of the same and ensure due compliance of the aforesaid Policy.

In case of any further queries, employees are requested to contact the Compliance Officer Mr. Sushil Sanganeria.

With regards

For FORTUNE INTERFINANCE LTD

A handwritten signature in blue ink is written over the signature line.



Director

Encl: Company Policy and SEBI circular Cir/ISD/1/2011 dated March 23, 2011

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UNAUTHENTICATED NEWS**



Employee Name	Signature
AJIT CHOTIA	
MANOJ KUMAR PANDEY	
MANOJ KUMAR SINGH	
NAVNEET KUMAR SHAW	
RAJENDRA KUMAR AGARWAL	
SANJOY GHOSH	
SIDDARTHA GUPTA	
SUSHIL SANGANERIA	
VIVEK AGARWAL	

